

## ಕರ್ನಾಟಕ ವಿಧಾನ ಪರಿಷತ್ತು

<b>ಚುಕ್ಕೆ ಗುರುತಿಲ್ಲದ ಪ್ರಶ್ನೆ ಸಂಖ್ಯೆ</b>	930 (1206)
<b>ಪರಿಷತ್ತಿನ ಸದಸ್ಯರು</b>	<b>ಶ್ರೀ ಎನ್. ಅಪ್ಪಾಜಿಗೌಡ (ಸ್ಥಳೀಯ ಸಂಸ್ಥೆಗಳ ಕ್ಷೇತ್ರ)</b>
<b>ಉತ್ತರಿಸಬೇಕಾಗಿದ್ದ ದಿನಾಂಕ</b>	16.03.2020
<b>ಉತ್ತರಿಸಬೇಕಾದ ಸಚಿವರು</b>	<b>ಉಪ ಮುಖ್ಯಮಂತ್ರಿಗಳು (ಉನ್ನತ ಶಿಕ್ಷಣ)</b>
<b>ಪ್ರಶ್ನೆ</b>	<b>ಉತ್ತರ</b>
<p>ಅ) ದಿನಾಂಕ: 11.07.2016ರ ಯು.ಜಿ.ಸಿ. ಮಾರ್ಗಸೂಚಿಯಂತೆ ಯು.ಜಿ.ಸಿ. ಪ್ರಾಯೋಜಕತ್ವದಲ್ಲಿ ಮತ್ತು ಕಾಲೇಜು ಶಿಕ್ಷಣ ವತಿಯಿಂದ ಗೊತ್ತುಪಡಿಸಿರುವ ಅನುಮೋದಿತ ಶಿಬಿರ/ ಕಾರ್ಯಾಗಾರ/ ತರಬೇತಿಗಳು ಮತ್ತು ತರಬೇತಿ ಸಂಸ್ಥೆಗಳ ವಿವರಗಳನ್ನು ಒದಗಿಸುವುದು.</p>	<p>ವಿಶ್ವವಿದ್ಯಾಲಯ ಧನ ಸಹಾಯಕ ಆಯೋಗದ ಅಧಿಸೂಚನೆ ಸಂಖ್ಯೆ: ಎಫ್-1-2/2017 (EC/PS) ನಿಯಮ II ರಲ್ಲಿನ ಉಪ ನಿಯಮ (2) ರನ್ವಯ ಕಾಲೇಜುಗಳಲ್ಲಿ ಯು.ಜಿ.ಸಿ. ಪ್ರಾಯೋಜಕತ್ವದಲ್ಲಿ ಎಫ್.ಡಿ.ಪಿ. ಕಾರ್ಯಾಗಾರವನ್ನು ಹಮ್ಮಿಕೊಂಡು, ಅನ್ಯಕಾರ್ಯನಿಮಿತ್ತ ಮಂಜೂರು ಮಾಡಲು ಕಾಲೇಜುಗಳ ಪ್ರಾಂಶುಪಾಲರುಗಳಿಂದ ಕೋರಿಕೆ ಸಲ್ಲಿಸಿದ್ದಲ್ಲಿ, ಸದರಿ ಕಾರ್ಯಾಗಾರದಲ್ಲಿ ಭಾಗವಹಿಸುವ ಪ್ರಶಿಕ್ಷಣಾರ್ಥಿಗಳಿಗೆ ಇಲಾಖಾ ವತಿಯಿಂದ ಯಾವುದೇ ಭತ್ಯೆಗಳಿಲ್ಲದೆ ಸದರಿ ದಿನಗಳಿಗೆ ಅನ್ಯಕಾರ್ಯನಿಮಿತ್ತ ರಜೆಯನ್ನು ಮಂಜೂರು ಮಾಡಲಾಗುವುದು.</p> <p>ಕಾಲೇಜು ಶಿಕ್ಷಣ ವತಿಯಿಂದ ಕೆಳಕಂಡಂತೆ ತರಬೇತಿಗಳನ್ನು ಹಮ್ಮಿಕೊಳ್ಳಲಾಗಿದೆ.</p> <ul style="list-style-type: none"> <li>• <b>ಎ.ಟಿ.ಐ:</b> 2019-20ನೇ ಸಾಲಿನಲ್ಲಿ ಮೈಸೂರಿನ ಆಡಳಿತ ತರಬೇತಿ ಸಂಸ್ಥೆ ಇಲ್ಲಿ ವಿವಿಧ ವಿಷಯಗಳ ಬಗ್ಗೆ ಒಟ್ಟು 195 ಗ್ರೇಡ್-3 ಹಾಗೂ ಬಿ ಅಧಿಕಾರಿಗಳಿಗೆ ತರಬೇತಿ ನೀಡಲಾಗಿದೆ.</li> <li>• <b>ಉನ್ನತ ಶಿಕ್ಷಣ ಅಕಾಡೆಮಿ :</b> ಅ) 2019-20ನೇ ಸಾಲಿನಲ್ಲಿ ಹೊಸದಾಗಿ ನೇಮಕಾತಿ ಹೊಂದಿದ ವಿವಿಧ ವಿಷಯಗಳ ಸಹಾಯಕ ಪ್ರಾಧ್ಯಾಪಕರುಗಳಿಗೆ, ಗ್ರಂಥಪಾಲಕರುಗಳಿಗೆ ಹಾಗೂ ಪ್ರಾಂಶುಪಾಲರುಗಳಿಗೆ ಉನ್ನತ ಶಿಕ್ಷಣ ಅಕಾಡೆಮಿ, ಧಾರವಾಡ ಇಲ್ಲಿ ವೃತ್ತಿ ಬುನಾದಿ ತರಬೇತಿಯನ್ನು ಹಮ್ಮಿಕೊಂಡಿದ್ದು, ಸದರಿ ತರಬೇತಿಗೆ ಒಟ್ಟು 680 ಪ್ರಶಿಕ್ಷಣಾರ್ಥಿಗಳನ್ನು ನಿಯೋಜಿಸಲಾಗಿದೆ.</li> <li>ಆ) 2019-20 ನೇ ಸಾಲಿನಲ್ಲಿ ಕಾಲೇಜು ಇಲಾಖೆ 50 ಬೋಧಕೇತರ ಸಿಬ್ಬಂದಿಗಳಿಗೆ ಆಡಳಿತಾತ್ಮಕ ತರಬೇತಿಯನ್ನು ಹಮ್ಮಿಕೊಳ್ಳಲಾಗಿದೆ.</li> <li>• <b>ನಿಮ್ಮಾನ್ಸ್:</b> ರಾಷ್ಟ್ರೀಯ ಸೇವ ಯೋಜನೆ, ರಾಜ್ಯ ಕೋಶ ಹಾಗೂ ನಿಮ್ಮಾನ್ಸ್ ಸಹಯೋಗದೊಂದಿಗೆ ಎನ್.ಎಸ್.ಎಸ್. ಯೋಜನಾಧಿಕಾರಿಗಳ ಮೂಲಕ "ಜೀವನ ಕೌಶಲ್ಯ" ತರಬೇತಿಯನ್ನು ಎಪಿಡೀಮಿಯಾಲಜಿ ವಿಭಾಗ, ಜನ ಆರೋಗ್ಯ ಕೇಂದ್ರ, ನಿಮ್ಮಾನ್ಸ್ ಸಂಸ್ಥೆ, ಬೆಂಗಳೂರು ಇಲ್ಲಿ ಬೋಧಕರು/ಗ್ರಂಥಪಾಲಕರುಗಳಿಗೆ ಒಟ್ಟು 400 ಶಿಬಿರಾರ್ಥಿಗಳಿಗೆ ತರಬೇತಿಯನ್ನು ಹಮ್ಮಿಕೊಳ್ಳಲಾಗಿದೆ.</li> <li>• ವಿತ್ತೀಯ ಆರ್ಥಿಕ ನೀತಿ ಸಂಸ್ಥೆ, ಬೆಂಗಳೂರು ಇಲ್ಲಿ ವಿವಿಧ ವಿಷಯಗಳ ಬಗ್ಗೆ ಎ ಮತ್ತು ಬಿ ಗುಂಪಿನ ಒಟ್ಟು 36 ಅಧಿಕಾರಿಗಳಿಗೆ ತರಬೇತಿಯನ್ನು ನೀಡಲಾಗಿದೆ.</li> </ul>
<p>ಅ) AICTE ಪಾಯೋಜಿತ Engineering ಶಿಕ್ಷಣ ಸಂಸ್ಥೆಗಳಲ್ಲಿ ಕೈಗೊಳ್ಳುವ 7 ಅಥವಾ ಹೆಚ್ಚಿನ ದಿನಗಳು ನಡೆಸಲ್ಪಡುವ ಎಫ್.ಡಿ.ಪಿ. ತರಬೇತಿಗಳು ದಿನಾಂಕ:11.07.2016 ಯು.ಜಿ.ಸಿ.</p>	<p><b>ತಾಂತ್ರಿಕ ಶಿಕ್ಷಣ</b> AICTE ಪಾಯೋಜಿತ Engineering ಶಿಕ್ಷಣ ಸಂಸ್ಥೆಗಳಲ್ಲಿ 07 ಅಥವಾ ಹೆಚ್ಚಿನ ದಿನಗಳು ನಡೆಸಲ್ಪಡುವ ಎಫ್.ಡಿ.ಪಿ. ತರಬೇತಿಗಳನ್ನು ಎ.ಐ.ಸಿ.ಟಿ.ಇ. ನವದೆಹಲಿ ಇವರ ಮಾರ್ಗಸೂಚಿಗಳನ್ವಯ ನಡೆಸಲಾಗುವುದು.</p>

	<p>ಮಾರ್ಗಸೂಚಿಗಳನ್ವಯ ತರಬೇತಿಯನ್ನು ನೀಡಲು ಆಹ್ವಾನ ಪಡೆದಿರುವ / ಪಡೆಯದಿರುವ ಆದೇಶಗಳನ್ನು ಒದಗಿಸುವುದು.</p>	<p>2019-20 ಹಾಗೂ 2020-21 ನೇ ಸಾಲಿನಲ್ಲಿ ಕರ್ನಾಟಕ ರಾಜ್ಯದಲ್ಲಿನ ಸರ್ಕಾರಿ ಇಂಜಿನಿಯರಿಂಗ್ ಕಾಲೇಜಿನಲ್ಲಿ ಒಂದು ಎಫ್.ಡಿ.ಪಿ. ತರಬೇತಿ ಕಾರ್ಯಕ್ರಮಕ್ಕೆ ಎ.ಐ.ಸಿ.ಟಿ.ಇ. ನವದೆಹಲಿ ಇವರು ಅನುಮೋದನೆ ನೀಡಿದ ಸಲುವಾಗಿ ಸದರಿ ಕಾರ್ಯಕ್ರಮವನ್ನು ಯಶಸ್ವಿಯಾಗಿ ನಡೆಸಲಾಗಿದೆ. (ಅನುಬಂಧ- 1ರಲ್ಲಿರಿಸಿದೆ)</p> <p>College Name : GEC, Mosalehosahalli.          Programme: Mechanical Engineering.          STTP Title : Light Weight Structures for Engineering Applications through Composite and Topology Optimization.          Duration : Two week          Year : 2019-20</p>
ಇ)	<p>ಎ.ಜಿ.ಪಿ. 9000 ವೃತ್ತಿ ಪದೋನ್ನತಿ ನೀಡಲು ದಿ:10.10.2018ರಂದು ನಡೆದ ಪರಿಶೀಲನಾ ಸಮಿತಿಯ ಮುಂದೆ ಹಾಜರಾಗಿದ್ದ ಸಹಾಯಕ ಪ್ರಾಧ್ಯಾಪಕರುಗಳಲ್ಲಿ ತಿರಸ್ಕೃತಗೊಂಡಿರುವವರ ಬಗ್ಗೆ ಮಾಹಿತಿಯನ್ನು ಒದಗಿಸುವುದು.</p>	<p>ಡಾ.ಟಿ. ಗಿರೀಶ್ ನಾಯ್ಕ, ರಾಜ್ಯಶಾಸ್ತ್ರ ಸಹಾಯಕ ಪ್ರಾಧ್ಯಾಪಕರು, ಸರ್ಕಾರಿ ಪ್ರಥಮ ದರ್ಜೆ ಕಾಲೇಜು, ಹೊಳಲ್ಕೆರೆ, ಚಿತ್ರದುರ್ಗ ಜಿಲ್ಲೆ ಇವರು AICTE ಪ್ರಾಯೋಜಿತದಲ್ಲಿ Y.S.R. Engineering College of Yogi Vemana University, Praddatur, Andra Pradesh ಇಲ್ಲಿ ಏರ್ಪಡಿಸಲಾಗಿದ್ದ Faculty Development Programme ತರಬೇತಿಯಲ್ಲಿ ಭಾಗವಹಿಸಿರುತ್ತಾರೆ. AICTE ಆಯೋಜಿಸುವ ಶಿಬಿರ/ಕಾರ್ಯಕ್ರಮಗಳ ತರಬೇತಿಗಳನ್ನು ಈ ಇಲಾಖೆಯ ಉಪನ್ಯಾಸಕರ ವೃತ್ತಿ ಪದೋನ್ನತಿಗೆ ಪರಿಗಣಿಸುತ್ತಿಲ್ಲವಾದ್ದರಿಂದ ಸದರಿಯವರು ಸಲ್ಲಿಸಿರುವ ತರಬೇತಿಯ ಪ್ರಮಾಣ ಪತ್ರವನ್ನು ಪದೋನ್ನತಿಗೆ ಪರಿಗಣಿಸಿರುವುದಿಲ್ಲ.</p>
ಈ)	<p>ಸದರಿ ತಿರಸ್ಕೃತಗೊಂಡ ಸಹಾಯಕ ಪ್ರಾಧ್ಯಾಪಕರುಗಳು ಹಾಜರುಪಡಿಸಿದ್ದ ಎಫ್.ಡಿ.ಪಿ. ತರಬೇತಿ ಪ್ರಮಾಣ ಪತ್ರಗಳು, ಮತ್ತಿತರ ಕೋರ್ಸ್ ದಾಖಲೆಗಳನ್ನು ಪರಿಗಣಿಸುವ ಅಥವಾ ಪರಿಗಣಿಸದಿರುವ ಬಗ್ಗೆ ಯು.ಜಿ.ಸಿ.ಯಿಂದ ಪಡೆಯಲಾದ ಸ್ಪಷ್ಟೀಕರಣದ ಬಗ್ಗೆ ವಿವರಗಳನ್ನು ಒದಗಿಸುವುದು.</p>	<p>ಯು.ಜಿ.ಸಿ.ಯ ಸಂಖ್ಯೆ: ಎಫ್3-1/2009, ದಿನಾಂಕ: 30.06.2010ರ ನಿಯಮಗಳಿಗೆ ತಿದ್ದುಪಡಿಗಳನ್ನು ದಿನಾಂಕ: 04.05.2016 ಹಾಗೂ 11.07.2016 ರಲ್ಲಿ ಮಾಡಲಾಗಿದ್ದು, ಸದರಿ ತಿದ್ದುಪಡಿಯ ಮಾರ್ಗಸೂಚಿಗಳಂತೆ ಸರ್ಕಾರಿ ಹಾಗೂ ಖಾಸಗಿ ಅನುದಾನಿತ ಕಾಲೇಜುಗಳ ಉಪನ್ಯಾಸಕರುಗಳಿಗೆ ರೂ.9000/- ಎ.ಜಿ.ಪಿ. ನಿಗದಿಪಡಿಸುವ ಬಗ್ಗೆ ವಿವರಗಳನ್ನು ಅನುಬಂಧ -2 ರಲ್ಲಿ ವಿವರಿಸಿದೆ.</p>
ಉ)	<p>ಎ.ಜಿ.ಪಿ. 9000 ವೃತ್ತಿ ಪದೋನ್ನತಿ ನೀಡಲು ದಿನಾಂಕ: 10.10.2018 ರಂದು ನಡೆದ ಪರಿಶೀಲನಾ ಸಮಿತಿಯ ಮುಂದೆ ಹಾಜರಾಗಿದ್ದ ಸಹಾಯಕ ಪ್ರಾಧ್ಯಾಪಕರುಗಳು ಪ್ರಸ್ತಾವನೆಗಳನ್ನು ಸಲ್ಲಿಸುವ ಮುನ್ನ ಇಂತಹದ್ದೇ ರಿಫ್ರೆಶರ್ ಕೋರ್ಸ್ ಕಿರು ಅವಧಿಯ ಕೋರ್ಸ್‌ಗಳು ಮತ್ತು ಎಫ್.ಡಿ.ಪಿ ತರಬೇತಿಗಳ ಬಗ್ಗೆ ಇಲಾಖೆಯ ನಿರ್ದಿಷ್ಟವಾಗಿ ಹೊರಡಿಸಿರುವ ಸುತ್ತೋಲೆಗಳನ್ನು ಒದಗಿಸುವುದು.</p>	<p>ಸರ್ಕಾರಿ ಪ್ರಥಮ ದರ್ಜೆ ಕಾಲೇಜುಗಳಲ್ಲಿ ಕಾರ್ಯನಿರ್ವಹಿಸುತ್ತಿರುವ ಸಹಾಯಕ ಪ್ರಾಧ್ಯಾಪಕರುಗಳಿಗೆ ವೃತ್ತಿ ಪದೋನ್ನತಿ ಅಡಿಯಲ್ಲಿ ಉನ್ನತ ಎ.ಜಿ.ಪಿ. ರೂ. 9000/- ಮಂಜೂರು ಮಾಡುವ ಸಂಬಂಧದಲ್ಲಿ ಕಾಲೇಜು ಶಿಕ್ಷಣ ಇಲಾಖೆಯ ದಿನಾಂಕ:27.04.2017ರ ಸಂಖ್ಯೆ:ಕಾಶಿಇ/137/ಎಜಿಪಿ/ಬಸ್ತಾವಿ-2016-17 ರ ಸುತ್ತೋಲೆಗಳಲ್ಲಿ ಮಾರ್ಗಸೂಚಿಗಳ ವಿವರಗಳನ್ನು ಅನುಬಂಧ-'3' ರಲ್ಲಿ ವಿವರಿಸಿದೆ.</p>

(ಡಾ: ಅಶ್ವತ್ಥ ನಾರಾಯಣ ಸಿ.ಎನ್.)  
 ಉನ್ನತ ಶಿಕ್ಷಣ ಸಚಿವರು



Controller & Auditor General of India or any other officer designated by them.

### Disbursement of funds to institutions

- The full amount of the grant sanctioned is being released as advance to the University/Institute.
- The amount spent by the institute on the conduct of FDP shall be adjusted on the basis of utilization certificate and detailed expenditure statement submitted by the University/Institution on the prescribed format along with other mandatory documents viz feedback form, copy of proceedings and completion report etc.
- The above said amount of the grant shall be released on the basis of the Letter of Approval (LOA) issued by AICTE to the institute for the academic year 2019-20.

### Conduct of test and issuance of certificate

A test shall be conducted by Program Evaluation Committee (PEC) at the end of the program and the certificates shall be issued to those participants who have attended the program and have qualified in the test.

### Submission of documents by university/institution

- The following mandatory relevant documents are required to be submitted by the university/institution within one month of the completion of the program :-
  - Original Statement of actual expenditure & Utilization Certificate in the prescribed proforma duly signed by the Head of the institution and countersigned by Registrar/Finance Officer/Govt. Auditor. In case of self-financing/private institutions, Statement of actual Expenditure & Utilization Certificate are required to be audited & signed and sealed by a Chartered Accountant endorsing the membership number and complete postal address. Photocopies of formats are enclosed.  
The university/institution is not required to submit bills/vouchers/invoices etc for the expenditure incurred out of recurring grants. However, such copies of bills/vouchers/invoices shall be digitized by respective institutions receiving grant and uploaded scanned copies of such bills/vouchers/invoices etc on the portal for availability and view at any point of time.
  - Feedback form in the prescribed proforma.
  - Copy of the proceedings and completion report.
  - List of candidates who have successfully completed the program on the basis of the test conducted by Program Evaluation Committee (PEC)
  - Report submitted by Program Evaluation Committee (PEC)
- The amount of the grant shall be adjusted on submission of utilization certificate & detailed expenditure statement by University/Institution. On receipt of these documents, the total amount of financial assistance, admissible as per the norms, shall be worked out and grant-in-aid shall be adjusted.
- The university/institution is expected to submit the above said mandatory documents viz. Utilization certificate, Expenditure Statement and feedback form etc within one month of conduct of FDP. However, delay of further 2 months may be condoned by AICTE in special circumstances as explained by the institute. Delay in submission of documents after three months of the conduct of FDP shall invite a penalty of 10% of the total sanctioned amount of the FDP, to be deducted from the balance amount of 2nd instalment. The entire amount of grant already released, along with interest accrued thereon shall be refunded to AICTE if mandatory documents are not submitted by the institute beyond one year.

### General Instructions

- Preferably 10% of the participants may be industry professionals deputed by industry. Further, not more than 20% participants shall be from the host institution/group of institutions
- Interest, accrued on the grant released shall be refunded back to AICTE.
- If programme is not conducted in the period of nine months of the issuance of this Offer Letter, the released amount, along with interest accrued thereon, has to be returned back to AICTE.
- The expenditures under the Heads 'Honorarium to Course Coordinator' and 'Honorarium to Resource Persons' shall not exceed 1% & 20% respectively of the total sanctioned grant for the Programme. However, overall expenditure shall not exceed the funds sanctioned for the Programme
- Any extra money required to complete the programme must be borne by the institute from their own resources. But the quality of the activities should not be compromised
- The FDP will be organized for 2 weeks with minimum 40 participants. The approved FDP shall be conducted within

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F. No. 34-55/\_\_\_\_/RIFD/FDP/Policy-1/2017-18

nine months from the date of release of funds.

Any unavoidable circumstantial change in the Program with respect to name of Project Coordinator, Venue and date for organizing FDP would mandatorily require prior approval of the Council. All such requests should be addressed to AICTE, in advance, recording the specific reasons for proposed changes, failing which the offer for the grant already issued would be treated as automatically withdrawn and the financial assistance released in favour of the beneficiary institution shall be refunded immediately to the Council. Kindly mention the File No. 34-55/\_\_\_\_/RIFD/FDP/Policy-1/2017-18 in your future correspondence.

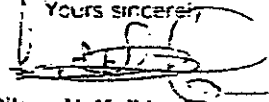
Program Evaluation Committee (PEC) is required to be constituted at institutional level. The constitution of the PEC shall be as under:

- (i) Principal/Director/Registrar of the institution (Chairperson);
- (ii) Coordinator of the program (Member Secretary);
- (iii) Two HODs and one subject expert (members).

The members of the said PEC shall not be below the rank of Associate Professor. A test shall be conducted by Program Evaluation Committee (PEC) at the end of the program and the certificates shall be issued to those participants who have attended the program and have qualified in the test. The minutes of the meetings along with PEC report, are to be submitted to the Council at end of the program along with other mandatory documents.

GOI GFR rules ([@https://dce.gov.in/order-circular/general-financial-rules2017-0](https://dce.gov.in/order-circular/general-financial-rules2017-0)) should be followed during utilization of grant.

This Sanction Order may be treated as Offer Letter for all purposes.

Yours sincerely,  
  
 (Dileep N. Malkhede)  
 Advisor J (RIFD)  
 23/6/19

is forwarded for information and necessary action to -

Coordinator of the Program  
 PANGASWAMY THIMME GOWDA GOVT  
 ENGINEERING COLLEGE, HASSAN DAIRY  
 CIRCLE, B M ROAD, HASSAN HASSAN  
 HASSAN - 573201

Registrar / Director / Principal  
 GOVT ENGINEERING COLLEGE, HASSAN  
 DAIRY CIRCLE, B M ROAD HASSAN, HASSAN  
 HASSAN - 573201

Hard File

				(तीन) विनियम और तालिका दो(क) हेतु में गण्य विनिर्दिष्ट एक नयन समिति प्रक्रिया
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नोट: शिक्षकों हेतु सीएस के लिए तालिका दो(क) हेतु उपलब्ध विवरणात्मक नोट इस संवर्ग हेतु विनिर्दिष्ट एपीआई अकों के अनुसार पुस्तकालयध्यक्ष संवर्गों के पर भी लागू है।

**UNIVERSITY GRANTS COMMISSION**

**NOTIFICATION**

New Delhi, the 4th May, 2016

**UNIVERSITY GRANTS COMMISSION ON MINIMUM QUALIFICATIONS FOR APPOINTMENT OF TEACHERS AND OTHER ACADEMIC STAFF IN UNIVERSITIES AND COLLEGES AND MEASURES FOR THE MAINTENANCE OF STANDARDS IN HIGHER EDUCATION) (3<sup>RD</sup> AMENDMENT), REGULATIONS, 2016.**

**No.F.1-2/2016 (PS/Amendment).**—In exercise of the powers conferred under clause (c) and (g) of sub-section (1) of Section 26 of University Grants Commission Act, 1956 (3 of 1956), the University Grants Commission hereby frames the following Regulations to amend the University Grants Commission on minimum qualifications for appointment of teachers and other academic staff in universities and colleges and measures for the maintenance of standards in higher education, Regulations, 2010, namely:—

**2. Short title, application and commencement:**

2.1 These Regulations may be called the University Grants Commission on minimum qualifications for appointment of teachers and other academic staff in universities and colleges and measures for the maintenance of standards in higher education (3<sup>rd</sup> Amendment), Regulations, 2016.

2.2 They shall apply to every University established or incorporated by or under a Central Act, Provincial Act or a State Act, every institution including a constituent or an affiliated college recognized by the Commission, in consultation with the university concerned under Clause (f) of Section 2 of the University Grants Commission Act, 1956 and every institution deemed to be a university under Section 3 of the said Act.

2.3 They shall come into force with immediate effect.

3. In the University Grants Commission on minimum qualifications for appointment of teachers and other academic staff in universities and colleges and measures for the maintenance of standards in higher Education, Regulations, 2010 (Principal Regulation 2010) the following amendments are made:—

Existing provisions of the following clauses of the Principal UGC Regulations 2010	Amendments made in the following clauses of Principal UGC Regulations 2010
<p><b>3.0.0. Recruitment and Qualifications</b></p> <p>3.1.0 The direct recruitment to the posts of Assistant Professors, Associate Professors and Professors in the Universities and Colleges shall be on the basis of merit through all India advertisement and selections by the duly constituted Selection Committees as per the provisions made under these Regulations to be incorporated under the Statutes/Ordinances of the concerned university. The composition of such committees should be as prescribed by the UGC in these Regulations.</p> <p>3.2.0 The minimum qualifications required for the post of Assistant Professors, Associate Professors, Professors, Principals, Assistant Directors of Physical Education and Sports, Deputy Directors of Physical Education and Sports, Directors of Physical Education and Sports, Assistant Librarians, Deputy Librarians, Librarians will be those as prescribed by the UGC in these Regulations.</p> <p>3.3.0 The minimum requirements of a good academic record 75% marks or an equivalent grade on a point scale wherever grading system is followed; at the master's level in the concerned subject.</p>	<p><b>3.0.0. Recruitment and Qualifications</b></p> <p>3.1.0 The direct recruitment to the posts of Assistant Professors, Associate Professors and Professors in the Universities and Colleges shall be on the basis of merit through all India advertisement and selections by the duly constituted Selection Committees as per the provisions made under these Regulations to be incorporated under the Statutes/Ordinances of the concerned university. The composition of such committees should be as prescribed by the UGC in these Regulations.</p> <p>3.2.0 The minimum qualifications required for the post of Assistant Professors, Associate Professors, Professors, Principals, Assistant Directors of Physical Education and Sports, Deputy Directors of Physical Education and Sports, Directors of Physical Education and Sports, Assistant Librarians, Deputy Librarians, Librarians will be those as prescribed by the UGC in these Regulations.</p> <p>3.3.0 The minimum requirements of a good academic record 75% marks or an equivalent grade on a point scale wherever grading system is followed; at the master's level in the concerned subject.</p>

Test (NET), or an accredited test (State Level Eligibility Test - SLET/SET), shall remain for the appointment of Assistant Professors.

3.3.1 NET/SLET/SET shall remain the minimum eligibility condition for recruitment and appointment of Assistant Professors in Universities / Colleges / Institutions :

Provided however, that candidates, who are or have been awarded a Ph. D. Degree in accordance with the University Grants Commission (Minimum Standards and Procedure for Award of Ph.D. Degree) Regulations, 2009, shall be exempted from the requirement of the minimum eligibility condition of NET/SLET/SET for recruitment and appointment of Assistant Professor or equivalent positions in Universities / Colleges / Institutions.

accredited test (State Level Eligibility Test - SLET/SET), shall remain for the appointment of Assistant Professors.

3.3.1. NET/SLET/SET shall remain the minimum eligibility condition for recruitment and appointment of Assistant Professors in Universities/Colleges/ Institutions :

Provided however, that candidates, who are or have been awarded a Ph. D. Degree in accordance with the University Grants Commission (Minimum Standards and Procedure for Award of Ph.D. Degree) Regulations, 2009 or the subsequent Regulations if notified by the UGC, shall be exempted from the requirement of the minimum eligibility condition of NET/SLET/SET for recruitment and appointment of Assistant Professor or equivalent positions in Universities / Colleges / Institutions.

Further, the award of degrees to candidates registered for the M.Phil/Ph.D programme prior to July 11, 2009, shall be governed by the provisions of the then existing Ordinances/ By laws/ Regulations of the Institution awarding the degrees and the Ph.D candidates shall be exempted from the requirement of the minimum eligibility condition of "NET/SLET/SET" for recruitment and appointment of Assistant Professor or equivalent positions in Universities / Colleges / Institutions subject to the fulfillment of the following conditions :-

- (a) Ph.D. degree of the candidate awarded in regular mode only;
- (b) Evaluation of the Ph.D. thesis by at least two external examiners;
- (c) Candidate had published two research papers out of which at least one is a refereed journal from out of his/her Ph.D. work;
- (d) The candidate had presented two papers in seminars/conferences from out of his/her Ph.D. work;
- (e) Open Ph.D. viva-voce of the candidate had been conducted.

(a) to (e) as above are to be certified by the Vice-Chancellor/ Pro-Vice-Chancellor/ Dean (Academic Affairs)/Dean (University Instructions)"

3.3.2 NET/SLET/SET shall not be required for such Masters Degree Programmes in disciplines for which NET/SLET/SET accredited test is not conducted.

3.4.0 A minimum of 55% marks (or an equivalent grade in a point scale wherever grading system is followed) will be required at the Master's level for those recruited as teachers at any level from industries and research institutions and at the entry level of Assistant Professors, Assistant Librarians, Assistant Directors of Physical Education and Sports.

3.4.1 A relaxation of 5% may be provided at the graduate and master's level for the Scheduled Caste /Scheduled Tribe /Differently-abled (Physically and visually-differently-abled) categories for the purpose of eligibility and for assessing good academic record during direct recruitment to teaching positions. The eligibility marks of 55% marks (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of 5% to the categories mentioned above are permissible based on only the following marks and/or grades...

3.3.2 NET/SLET/SET shall not be required for such Masters Degree Programmes in disciplines for which NET/SLET/SET accredited test is not conducted.

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	<p>fulfilment of the following conditions: -</p> <ul style="list-style-type: none"> <li>(a) Ph.D. degree of the candidate awarded in regular mode only;</li> <li>(b) Evaluation of the Ph.D. thesis by at least two external examiners;</li> <li>(c) Candidate had published two research papers out of which at least one in a refereed journal from out of his/her Ph.D. work;</li> <li>(d) The candidate had presented two papers in seminars/conferences from out of his/her Ph.D. work;</li> <li>(e) Open Ph.D. viva-voce of the candidate had been conducted.</li> </ul> <p>(a) to (e) as above are to be certified by the Vice-Chancellor/ Pro-Vice-Chancellor/ Dean (Academic Affairs)/Dean (University Instructions)*</p>
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4. The existing Tables I to IX under Appendix-III of the University Grants Commission Regulations on minimum qualifications for appointment of teachers and other academic staff in universities and colleges and measures for the maintenance of standards in higher education, 2010 (Principal Regulations) and its amendment, 2013 (2<sup>nd</sup> Amendment) regarding computation of API Score for appointment and promotion of teachers and other academic staff in the Universities/Colleges/institutions shall stand amended and be substituted by the revised Tables I to IX appended to these 3<sup>rd</sup> Amendment Regulations.

Prof. JASPAL SINGH SANDHU, Secy.  
[ADVT. III/MS/78(137)]

**APPENDIX - III: TABLE I  
ACADEMIC PERFORMANCE INDICATORS (API) FOR CAREER ADVANCEMENT SCHEME (CAS)  
PROMOTIONS FOR ASSISTANT PROFESSOR, ASSOCIATE PROFESSOR, AND PROFESSOR AND FOR  
DIRECT RECRUITMENT OF ASSOCIATE PROFESSOR AND PROFESSOR IN UNIVERSITIES AND  
COLLEGES. Direct Teaching work load and weightage to be given to different levels of Teachers**

	Direct Teaching Hours per week	Weightage
Assistant Professor	18+6*	100
Associate Professor	16+6*	90
Professor	14+6*	80

Based on the teacher's self-assessment, API scores are proposed for (a) teaching related activities; domain knowledge; (b) participation in examination and evaluation; (c) contribution to innovative teaching, new courses etc. and (d) student feedback. The minimum API score required by teachers from this category is different for different levels of promotion. The self assessment score should be based on objectively verifiable criteria. It shall be finalized by the screening cum evaluation / selection committee. Universities may detail the activities, in case institutional specificities require, adjust the weightages without changing the minimum total API scores required under this category.

**CATEGORY I: TEACHING, LEARNING AND EVALUATION RELATED ACTIVITIES**

Category	Nature of Activity	Assistant Professor		Associate Professor		Professor	
		Max. Score	Actual Score	Max. Score	Actual Score	Max. Score	Actual Score
I	a. Lectures - Classroom Teaching (including Lectures in excess of UGC norms)	60	Actual hours spent per academic year $\pm 10$	50	Actual hours spent per academic year $\pm 10$	45	Actual hours spent per academic year $\pm 10$
	b. Examinations duties (question paper setting, invigilation, evaluation of answer scripts etc.)	20	Actual hours spent per academic year	15	Actual hours spent per academic year	10	Actual hours spent per academic year

✓



c. Innovative Teaching learning methodologies, updating of subject contents/ courses etc.	10	Actual hours spent per academic year ÷10	15	Actual hours spent per academic year ÷10	15	Actual hours spent per academic year ÷10
d. Students Feedback (Students who have put in at least 75% attendance per course are eligible to give feed back)	10	Outstanding 10 Very Good 8 Good 6 Average 4 Below Average 0	10	Outstanding 10 Very Good 8 Good 6 Average 4 Below Average 0	10	Outstanding 10 Very Good 8 Good 6 Average 4 Below Average 0

\*Note: 1. 18/16/14 hours per week include the Lectures / Practicals / Project Supervision. Two hours of Practicals / project supervision be treated as equivalent to one hour of lecture. Those teachers who supervise the research of five or more Ph.D. students at a time may be allowed a reduction of Two hours per week in direct teaching hours.  
 2. 6 hours per week include the hours spent on tutorials, remedial classes, seminars, administrative responsibilities, innovation and updating of course contents.  
 3. Hours spent on examination duties such as invigilation, question paper setting, valuation of answer scripts and tabulation of results are over and above the prescribed direct teaching hours and are an integral part of overall teaching work load of 40 hours per week.  
 4. Lecturers allocation to add up to the UGC norm for particular category of teacher. University may prescribe minimum cut-off, say 75%, below which no scores may be assigned in these sub-categories.

**CATEGORY II: PROFESSIONAL DEVELOPMENT, CO-CURRICULAR AND EXTENSION ACTIVITIES**

Based on the teacher's self-assessment, Category II API scores are proposed for Professional development, co-curricular and extension activities; and related contributions. The minimum API required by teachers for eligibility for promotion is fixed Table II A. A list of items and scores is given below. The self-assessment score should be based on objectively verifiable criteria and shall be finalized by the screening cum evaluation committee for the promotion of Assistant Professor to higher grades and selection committee for the promotion of Assistant Professor to Associate Professor and Associate Professor to Professor and for direct recruitment of Associate Professor and Professor.

The model table below gives groups of activities and API scores. Universities may detail the activities or, in case institutional specificities require, adjust the weightages without changing the minimum total API score required under this category.

Category II	Nature of Activity	Maximum API Score	Actual score
a.	Student related co-curricular, extension and field based activities. (i) Discipline related co-curricular activities (e.g. field work, study visit, student seminar and other events, career counseling etc.) (ii) Other co-curricular activities (Cultural, Sports, NSS, NCC etc.) (iii) Extension and dissemination activities (public /popular lectures/talks/seminars etc.)	15	Actual hours spent per academic year ÷ 10
b.	Contribution to Corporate life and management of the department and institution through participation in academic and administrative committees and responsibilities. (i). Administrative responsibility (including as Dean / Principal/Chairperson/ Convener / Teacher-in-charge/similar other duties that require regular office hrs for its discharge) (ii). Participation in Board of Studies, Academic and Administrative Committees.	15	Actual hours spent per academic year ÷ 10
	Professional Development activities (such as participation in seminars, conferences, short term training courses, industrial experience, talks, lectures in refresher / faculty development courses, membership of associations, dissemination of and general articles and books, the contribution)	15	Actual hours spent per academic year

Based on API scores colleges evaluate promotion of A

Category

III

II

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Based on the teacher's self-assessment, API scores are proposed for research and academic contributions. The minimum API scores required for teachers from this category are different for different levels of promotion in universities and colleges. The self-assessment score shall be based on verifiable criteria and shall be finalized by the screening cum evaluation committee for the promotion of Assistant Professor to higher grades and Selection Committee for the promotion of Assistant Professor to Associate Professor and Associate Professor to Professor and for direct recruitment of Associate Professor and Professor.

Category	Activity	Sciences / Engineering / Agriculture / Medical / Veterinary Sciences	Faculties of Languages / Humanities / Arts / Social Sciences / Library / Physical education / Management	Maximum score for University / College teacher*
III (A)	Research Papers published in:	Refereed Journals as notified by the UGC	Refereed Journals as notified by the UGC	15 per Publication
		Other Reputed Journals as notified by the UGC	Other Reputed Journals as notified by the UGC	10 per Publication ✓
III (B)	Publications other than journal articles (books, chapters in books)	Text/Reference Books by International Publishers as notified by the UGC	Text/Reference Books by International Publishers as notified by the UGC	30 per Book for Single Author ✓
		Subject Books by National level publishers as identified by the UGC or State / Central Govt. Publications	Subject Books by National level publishers as identified by the UGC or State / Central Govt. Publications	20 per Book for Single Author ✓
		Subject Books by Other local publishers as identified by the UGC	Subject Books by Other local publishers as identified by the UGC	15 per Book for Single Author ✓
		Chapters in Books published by National and International level publishers as identified by the UGC	Chapters in Books published by National and International level publishers identified by the UGC	International - 10 per Chapter ✓ National - 5 per Ch ✓
III (C)	<b>RESEARCH PROJECTS</b>			
III (C) (i)	Sponsored Projects	(a) Major Projects with grants above Rs. 30.0 lakhs	Major Projects with grants above Rs. 5.0 lakhs	20 per Project ✓
		(b) Major Projects with grants above Rs. 5.0 lakhs up to Rs. 30.0 lakhs	Major Projects with grants above Rs. 3.0 lakhs up to Rs. 5.0 lakhs	15 per Project ✓
		(c) Minor Projects with grants above Rs. 1.00 lakh up to Rs. 5 lakhs	Minor Projects with grants above Rs. 1.0 lakh up to Rs. 3 lakhs	10 per Project ✓
III (C) (ii)	Consultancy Projects	Amount mobilized with a minimum of Rs. 10.00 lakhs	Amount mobilized with a minimum of Rs. 2.0 lakhs	10 for every Rs. 10.0 lakhs and Rs. 2.0 lakhs, respectively ✓
III (C) (iii)	Projects Outcome / Outputs	Patent / Technology transfer / Product / Process	Major Policy document of Central / State Govt. Bodies prepared	30 for each International / 20 for each for national level output or patent or major policy document ✓

III (D) RESEARCH GUIDANCE				
III (D) (i)	M.Phil.	Degree awarded	Degree awarded	5 per candidate ✓
III (D) (ii)	Ph.D.	Degree awarded	Degree awarded	15 per candidate ✓
		Thesis submitted	Thesis submitted	10 per candidate ✓
III (E)	Fellowships, Awards and Invited lectures delivered in conferences / seminars			
III (E) (i)	International Award/Fellowship		International Award / Fellowship	15 per Award / 15 per Fellowship ✓
	National Award/Fellowship		National Award/Fellowship	10 per Award / 10 per Fellowship ✓
	State/University level Award		State/University level Award	5 Per Award ✓
III (F) (ii)	Invited lecture /	International	International	7 per lecture / ✓
		National	National	5 per paper presented ✓
		State/University level	State/University level	3 per paper presented ✓

		2 per paper presented ✓
	The score under this sub category shall be restricted to 20% of the minimum fixed for Category III for any assessment period	
III(F)	Development of e-learning delivery process/material	10 per module ✓

\* Wherever relevant to any specific discipline, the API score for paper in refereed journal would be augmented as follows: (i) paper with impact factor less than 1 - by 5 points; (ii) papers with impact factor between 1 and 2 by 10 points; (iii) papers with impact factor between 2 and 5 by 15 points; (iv) papers with impact factor between 5 and 10 by 20 points; (v) papers with impact factor above 10 by 25 points. The API for joint publications shall be calculated in the following manner: Of the total score for the relevant category of publication by the concerned teacher, the First and Principal / corresponding author /supervisor / mentor would share equally 70% of the total points and the remaining 30% would be shared equally by all other authors.

APPENDIX - III TABLE - II (A)

MINIMUM APIS AS PROVIDED IN APPENDIX - III TABLE I TO BE APPLIED FOR THE PROMOTION OF TEACHERS UNDER CAREER ADVANCEMENT SCHEME (CAS) IN UNIVERSITY DEPARTMENTS AND COLLEGES, AND WEIGHTAGES FOR EXPERT ASSESSMENT

Category	Activity	Assistant Professor / equivalent cadres: (Stage 1 to Stage 2)	Assistant Professor / equivalent cadres: (Stage 2 to Stage 3)	Assistant Professor (Stage 3) to Assoc. Professor/equivalent cadres (Stage 4)	Associate Professor (Stage 4) to Professor/equivalent cadres (Stage 5)	Professor (Stage 5) to Professor (Stage 6)
I	Teaching-learning, Evaluation Related Activities	80/Year	80/year	75/year ✓	70/year	70/year
II	Professional Development and Extension activities - Minimum score required to be assessed cumulatively	50 / Assessment period	50 / Assessment period	50 / Assessment period ✓	50 / Assessment period	100 / Assessment period
III	Research and Academic Contributions- Minimum Score required - to be assessed cumulatively	20 / Assessment period	50 / Assessment period)	75 / Assessment period	100 / Assessment period	400 / Assessment period
II + III	Minimum total API score under Categories II and III*	90 / Assessment period	120 / Assessment period)	150 / Assessment period ✓	180 / Assessment period	600 / Assessment period
IV	Expert Assessment System	Screening cum evaluation committee	Screening cum evaluation committee	Selection Committee ✓	Selection Committee	Expert Committee
V	Percentage Distribution of Weightage Points in the Expert Assessment (Total weightage - 100) cumulatively required for promotion as	No separate points. Screening committee to verify API scores	No separate points. Screening Committee to verify API scores	30% - Research Contribution 50% - Assessment of domain knowledge & teaching practices. 20% - Interview ✓	50% Research Contribution 30% Assessment of domain knowledge & teaching ✓	50% Research Contribution. 50%- Performance evaluation other

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		Development Programmes of 2/3 week duration (iii) Screening cum Verification process for recommending promotion.
3.	Assistant Professor (Stage 3) to Associate Professor (Stage 4)	Assistant Professors with three years of completed service in Stage 3. ✓
4.	Associate Professor (Stage 4) to Professor (Stage 5)	Associate Professor with three years of completed service in Stage 4.
5.	Professor (Stage 5) to Professor (Stage 6)	Professor with ten years of completed service (universities only)

(i) Minimum cumulative API scores using the PBAS scoring proforma developed by the UGC as per the norms provided in Table II (A).  
(ii) At least three publications in the entire period as Assistant Professor (twelve years). However, in the case of College teachers, an exemption of one publication may be given to M. Phil. holders and an exemption of two publications may be given to Ph. D. holders.  
(iii) One course / programme from among the categories of methodology workshops, Training, Teaching-Learning - Evaluation Technology Programmes, Soft Skills development Programmes and Faculty Development Programmes of minimum one week duration. ✓  
(iv) A selection committee process as stipulated in the regulation and in Tables II(A).

(i) Minimum cumulative API scores using the PBAS scoring proforma developed by the UGC as per the norms provided in Table II (A). Teachers may combine two assessment periods (in Stages 2 and 3) to achieve minimum API scores, if required.  
(ii) A minimum of five publications since the period that the teacher is placed in stage 3.  
(iii) A selection committee process as stipulated in the regulation and in Tables II (A).

(i) Minimum cumulative API scores for the assessment period as per the norms provided in Table II (A).  
(ii) Additional credentials are to be evidenced by: (a) post-doctoral research outputs of high standard; (b) awards / honours / recognitions / patents and IPR on products and processes developed / technology transfer achieved; and (c) Additional research degrees like D.Sc., D.Lit., LL.D., etc.,  
(iii) A review process by an Expert Committee as stipulated in this regulation and in Tables II (A).

## APPENDIX- III TABLE VII

ACADEMIC PERFORMANCE INDICATORS (API) FOR CAREER ADVANCEMENT SCHEME (CAS) PROMOTIONS OF ASSISTANT LIBRARIAN /DEPUTY LIBRARIAN IN UNIVERSITIES / FOR COLLEGE LIBRARIAN AND FOR DIRECT RECRUITMENT OF DEPUTY LIBRARIAN IN UNIVERSITIES.

Direct Work load and weightage to be given to different levels of Librarians

	Direct working hours per week	Weightage
Assistant Librarian/College Librarian	40	100
Deputy Librarian	36+4*	90
Librarian	32+8*	80

Based on the Librarian Cadre's self-assessment, API scores are proposed for (a) Library resources organisation and maintenance of books, journals, reports, Development, organisation and management of e-resources; User awareness and instruction programmes, (b) ICT and other new technologies' application for upgradation of library services and (c) Additional services such as extending library facilities on holidays, shelf order maintenance, library user manual, building and extending institutional library facilities to outsiders through external membership norms. The minimum API score required by Library Personnel from this category is different for different levels of promotion. The self assessment score should be based on objectively verifiable criteria. It shall be finalized by the screening cum evaluation / selection committee. Universities may detail the activities, in case institutional specificities require adjust the weightages without changing the minimum total API scores required under this category.

\*Hours spent on administrative responsibilities, innovation, upgradation of self, etc., etc.

50)				practices 20 % Interview performance	credited by referral procedure
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\* Teachers may score the balance of points from either Category II or Category III to achieve the minimum score required under Category II + III.

#### APPENDIX - III TABLE - II(B)

Minimum Scores for APIs for direct recruitment of teachers in university departments / Colleges and weightages in Selection Committees to be considered along with other specified eligibility qualifications stipulated in the Regulation.

	Assistant Professor (Stage 1)	Associate Professor (Stage 4)	Professor (Stage 5)
Minimum API Scores	Minimum Qualification as stipulated in these regulations	Consolidated API score requirement of 300 points from categories II & III of APIs (cumulative)	Consolidated API score requirement of 400 points from categories II & III of APIs (cumulative)
Selection Committee criteria / weightages (Total Weightages = 100)	(a) Academic Record and Research Performance: (50%) (b) Assessment of Domain Knowledge & Teaching Skills (30%) (c) Interview performance (20%)	(a) Academic Background (20%) (b) Research performance based on API score and quality of publications (40%) (c) Assessment of Domain Knowledge and Teaching Skills (20%) (d) Interview performance: (20%)	(a) Academic Background (20%) (b) Research performance based on API score and quality of publications (40%) (c) Assessment of Domain knowledge and Teaching Skills (20%) (d) Interview performance: (20%)

#### APPENDIX-III - TABLE- III

MINIMUM ACADEMIC PERFORMANCE AND SERVICE REQUIREMENTS FOR PROMOTION OF TEACHERS IN UNIVERSITIES AND COLLEGES

S.No.	Promotion of Teachers through CAS	Service requirement	Minimum Academic Performance Requirements and Screening/Selection Criteria
1	Assistant Professor/ equivalent cadres from Stage 1 to Stage 2	Assistant Professor in Stage 1 and completed four years of service with Ph.D. or five years of service who are with M.Phil / PG Degree in Professional Courses such as LLM, M.Tech, M.V.Sc., M.D. or six years of service who are without Ph.D/ M.Phil / PG Degree in Professional courses	(i) Minimum cumulative API scores using PBAS scoring proforma developed by the UGC as per the norms provided in Table II (A). (ii) One Orientation and one Refresher / Research Methodology Course of 2/3 weeks duration. (iii) Screening cum Verification process for recommending promotion.
2.	Assistant Professor/ equivalent cadres from Stage 2 to Stage 3	Assistant Professor with completed service of five years in Stage 2	(i) Minimum cumulative API scores using the PBAS scoring proforma developed by the UGC as per the norms provided in Table II(A) (ii) One course / programme from among the categories of refresher courses, methodology workshops, Training teaching, research evaluation, research projects, etc. (iii) Screening cum Verification process for recommending promotion.

<p>महाविद्यालय पुस्तकालयाध्यक्ष (भयन ग्रेड) (चरण 3 से चरण 4) तक</p>		<p>विश्वविद्यालय द्वारा विनिर्दिष्ट न्यूनतम गणना प्रारूप का उपयोग कर प्रकाशित कार्य का प्रकाशन और प्रकाशन की पूर्ण समीक्षा प्राप्त करने को दी जाएगी।</p> <p>(ii) राज्य ही पुस्तकालय ऑटोमेशन/अकादमिक प्रलेखीकरण हेतु विश्लेषणात्मक साधन विकास की श्रेणियों में एक पाठ्यक्रम/प्रशिक्षण</p> <p>(iii) विनियम और तालिका VIII(क) में यथा विनिर्दिष्ट एक चयन समिति प्रक्रिया</p>
<p>4 विश्वविद्यालय पुस्तकालयाध्यक्ष (चरण 5) (केवल विश्वविद्यालयों हेतु)</p>	<p>विश्वविद्यालयों में चरण 4 में 3 वर्ष की पूरी सेवा वाले उप-पुस्तकालयाध्यक्ष</p>	<p>(i) तालिका VIII(क) में दिए गए मानदण्डों के अनुसार वि.अ.आ. द्वारा विकसित पीपीएस गणना प्रारूप का उपयोग कर न्यूनतम सकल एपीआई प्राप्तांक। न्यूनतम एपीआई प्राप्तांक प्राप्त करने के लिए पुस्तकालयाध्यक्ष दो आकलन अवधियों (चरण 3 और 4 में) को जोड़ सकते हैं, यदि आवश्यक हो।</p> <p>(ii) कार्मिक के चरण 3 में आने के बाद से कम से कम 5 प्रकाशन।</p> <p>(iii) नवोन्मेषी पुस्तकालय सेवाओं और प्रकाशित कार्य की आयोजना का प्रमाण।</p> <p>(iv) विनियम और तालिका VIII (क) में यथा विनिर्दिष्ट एक चयन समिति प्रक्रिया</p>

नोट: शिक्षकों हेतु सीएस के लिए तालिका II (क) हेतु उपलब्ध विवरणात्मक नोट इस संवर्ग हेतु विनिर्दिष्ट एपीआई प्राप्तांकों के अनुसार पुस्तकालयाध्यक्ष संवर्गों पर भी लागू है।

### UNIVERSITY GRANTS COMMISSION

#### NOTIFICATION

New Delhi, the 11th July, 2016

No.F.1-2/2016(1S/Amendment) -In exercise of the powers conferred under clauses (e) and (g) of sub-section

(1) of Section 26 of University Grants Commission Act, 1956 (3 of 1956), the University Grants Commission hereby frames the following amendment Regulations, namely :-

#### 1. Short title, application and commencement:

1.1 These Regulations may be called the University Grants Commission (Minimum Qualifications for Appointment of Teachers and other Academic Staff in Universities and Colleges and Measures for the Maintenance of Standards in Higher Education) (4<sup>th</sup> Amendment), Regulations, 2016.

1.2 They shall apply to every University established or incorporated by or under a Central Act, Provincial Act or a State Act, every institution including a constituent or an affiliated College recognized by the Commission, in consultation with the University concerned under clause (f) of Section 2 of the University Grants Commission Act, 1956 (3 of 1956) and every institution Deemed to be a University under Section 3 of the said Act.

1.3 They shall come into force with immediate effect from the date of their publication in the Official Gazette.

2. The following regulations in the University Grants Commission (Minimum qualifications for appointment of teachers and other academic staff in Universities and Colleges and other measures for the maintenance of standards in higher education) Regulations, 2010 shall stand amended and be read as under:-

Regulation	Existing provisions in Principal Regulations on Minimum Qualifications for	Amended provisions in principal Regulations on Minimum Qualifications for
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	Appointment of Teachers and other Academic Staff in Universities and Colleges and Measures for the Maintenance of Standards in Higher Education, 2010	Appointment of Teachers and other Academic Staff in Universities and Colleges and Measures for the Maintenance of Standards in Higher Education, 2010
3.4.1	A relaxation of 5% may be provided at the graduate and Master's level for the Scheduled Castes/Scheduled Tribes/Differently-abled (physically and visually differently-abled) categories for the purpose of eligibility and for assessing good academic records during direct recruitment to teaching positions. The eligibility marks of 55% marks (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of 5% to the categories mentioned above are permissible, based on only the qualifying marks without including any grace mark procedures.	A relaxation of 5% may be provided at the graduate and Masters level for the Scheduled Castes/Scheduled Tribes/Differently-abled /Other Backward Classes (OBC) (Non-creamy layer) categories for the purpose of eligibility and for assessing good academic records during direct recruitment to teaching positions. The eligibility marks of 55% marks (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of 5% to the categories mentioned above are permissible, based on only the qualifying marks without including any grace mark procedures.
8.2.1 of Schedule for clause 6.8.0	The posts of Vice-Chancellor shall carry a fixed pay of Rs.75,000/- alongwith a special pay of Rs.5,000/- per month. All other eligibility and facilities for the Vice-Chancellor as provided in the Act/Statutes of the University concerned shall be applicable besides the pay.	The post of Vice-Chancellor shall carry a fixed pay of Rs.75,000/- alongwith a special allowance of Rs.5,000/- per month. All other eligibility and facilities for the Vice-Chancellor as provided in the Act/Statutes of the University concerned shall be applicable besides the pay.
5.1.6 (d)	The term of appointment of the College Principal shall be FIVE years with eligibility for reappointment for one more term only after a similar Selection Committee process.	The term of appointment of the College Principal shall be five years with eligibility for reappointment for one more term only after a similar Selection Committee process which shall take into account an external peer review, its recommendations and its outcomes. The framework of the external peer review shall be specified by the UGC.
6.0.5(i)	Besides the indexed publications documented by various discipline-specific databases, the University concerned shall draw through committee(s) of subject experts and ISBN/ISSN experts : (a) a comprehensive list of National/Regional level journals of quality in the concerned subject(s); and (b) a comprehensive list of Indian language journals/periodicals/official publication volumes of language bodies and upload them on the University website which are to be updated periodically.	The University shall identify the journals subject-wise through subject expert committees and forward the recommendations to UGC in the format prescribed by UGC for approval of the UGC Standing Committee. The journals approved from this list, by the UGC Standing Committee, shall be included in the "List of Journals" notified by the UGC. The UGC Standing Committee shall give its recommendations within 60 working days of the receipt of the list from the University. The UGC Standing Committee may also, suomotu, recommend journals for inclusion in the "List of Journals".

3. The proviso prescribed under Regulation 3.3.1, 4.4.1, 4.4.2, 4.4.2.2, 4.4.2.3, 4.5.3 and 4.6.3 in the University Grants Commission (Minimum qualifications for appointment of teachers and other academic staff in Universities and Colleges and other measures for the maintenance of standards in higher education) (34th Amendment) Regulations, 2016 regarding exemption to the candidates registered for Ph.D programme prior to July 11, 2009 shall stand amended and be read as under:-

"Provided further, the award of degree to candidates registered for the M.Phil/Ph.D programme prior to July 11, 2009, shall be governed by the provisions of the then existing Ordinances/Bylaws/Regulations of the Institutions awarding the degree and the Ph.D candidates shall be exempted from the requirement of NET/SET/JSET for recruitment and appointment of Assistant Professor or equivalent positions in Universities/Colleges/Institutions subject to the fulfillment of the following conditions:-

- Ph.D degree of the candidate awarded in regular mode only

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			academic year ÷7.5		academic year ÷7.75		academic year ÷7.75
	b. Examination duties (question paper setting, invigilation, evaluation of answer scripts) as per allotment	20	Actual hours spent per academic year ÷10	20	Actual hours spent per academic year ÷10	10	Actual hours spent per academic year ÷10
	c. Innovative Teaching - learning methodologies, updating of subject contents/courses, mentoring etc.	10	Actual hours spent per academic year ÷10	15	Actual hours spent per academic year ÷10	20	Actual hours spent per academic year ÷10

Note:

1. Direct Teaching 16/14/14 hours per week include the Lectures/Tutorials/Practicals /Project Supervision/Field Work .
2. University may prescribe minimum cut-off. say 75%, below which no scores may be assigned in these sub-categories.
3. In consonance with established academic and teaching traditions, and with a view to reinforcing a student-centric and caring approach the teachers are encouraged to work with students, beyond the structure of classroom teaching. Indicatively, this could entail mentoring, guiding and counseling students. In particular teachers would be the best placed to identify and address the needs of students who may be differently abled, or require assistance to improve their academic performance, or to overcome a disadvantage. There are no prescribed hours for such efforts, measured either in weeks or months, or in the context and calculation of the API scores, these are nevertheless important and significant activities that could be carried out by teachers.

**CATEGORY II: PROFESSIONAL DEVELOPMENT, CO-CURRICULAR AND EXTENSION ACTIVITIES**

Based on the teacher's self-assessment, Category II API scores are proposed for Professional development, co-curricular and extension activities; and related contributions. The minimum API required by teachers for eligibility for promotion is fixed in Table II (A). A list of items and scores is given below. The self-assessment score should be based on objectively verifiable records and shall be finalized by the screening cum evaluation committee for the promotion of Assistant Professor to higher grades and selection committee for the promotion of Assistant Professor to Associate Professor and Associate Professor to Professor and for direct recruitment of Associate Professor and Professor.

The model table below gives groups of activities and API scores. Universities may detail the activities or, in case institutional specificities require, adjust the weightages without changing the minimum total API score required under this category.

Category II	Nature of Activity	Maximum API Score	Actual score
a.	Student related co-curricular, extension and field based activities. (i) Discipline related co-curricular activities (e.g. remedial classes, career counselling, study visit, student seminar and other events.) (ii) Other co-curricular activities (Cultural, Sports, NSS, NCC etc.) (iii) Extension and dissemination activities (public /popular lectures/talks/seminars etc.)	15	Actual hours spent per academic year ÷ 10
b.	Contribution to corporate life and management of the department and institution through participation in academic and administrative committees and responsibilities. i. Administrative responsibility (including as Dean / Principal / Chairperson / Convener / Teacher-in-charge/similar other duties that require regular office hrs for its discharge) (ii). Participation in Board of Studies. Academic and Administrative Committees	15	Actual hours spent per academic year ÷ 10
c.	Professional Development activities (such as participation in seminars, conferences, short term training courses, industrial experience, talks, lectures	15	Actual hours spent per



- b) Evaluation of the Ph.D. thesis by at least two external examiners:
- c) Open Ph.D. viva voce of the candidate had been conducted;
- d) Candidate has published two research papers from his/her Ph.D. work out of which at least one must be in a refereed journal:
- e) Candidate has made at least two presentations in conferences/seminars, based on his/her Ph.D work.

(a) to (e) as above are to be certified by the Vice-Chancellor/Pro-Vice-Chancellor/Dean(Academic Affairs)/Dean(University instructions)."

4. The second proviso prescribed under Regulation 6.0.1 in the University Grants Commission (Minimum qualifications for appointment of teachers and other academic staff in Universities and Colleges and other measures for the maintenance of the standards in higher education) (2<sup>nd</sup> Amendment) Regulations, 2013 shall be substituted with the following:-

"Provided also that the API score claim of each of the sub-categories in the Category III (Research and Academic Contributions) shall not have a cap except for the sub-category of invited lectures/papers."

As a consequence, the table at Regulation 6.0.1 of the University Grants Commission (Minimum qualifications for appointment of teachers and other academic staff in Universities and Colleges and other measures for the maintenance of the standards in higher education) (2<sup>nd</sup> Amendment) Regulations, 2013 stands deleted.

5. Student Feedback is an integral part of the institutional and academic development of higher educational institutions and in fostering quality. Student feedback and teacher response plays a catalytic role towards improvement in teaching-learning and institutional development. Feedback from students on teaching, delivery, methodology and pedagogy is pivotal with a view to enhancing clarity of concepts, subject understanding and developing and deepening an interest in the academic discipline. Universities and Colleges should encourage teachers to assist students in providing constructive feedback on teaching-learning in order to enhance quality education and in responding to the feedback.

6. Tables-I,II(A),II(B),III,IV,V(A),V(B),VI,VII,VIII(A), VIII(B) and IX of Appendix-III of the University Grants Commission (Minimum qualifications for appointment of teachers and other academic staff in Universities and Colleges and other measures for the maintenance of standards in higher education) (3<sup>rd</sup> Amendment) Regulations, 2016 shall be substituted with Appendix-III : Tables-I,II(A),II(B),III,IV,V(A),V(B),VI,VII,VIII(A), VIII(B) and IX appended to these 4<sup>th</sup> Amendment Regulations.

Prof. (Dr.) JASPAL SINGH SANDHU, Secy.  
(ADVT III/4/Exty./113(165))

#### APPENDIX - III: TABLE I

#### ACADEMIC PERFORMANCE INDICATORS (API) FOR CAREER ADVANCEMENT SCHEME (CAS) PROMOTIONS FOR ASSISTANT PROFESSOR, ASSOCIATE PROFESSOR AND PROFESSOR AND FOR DIRECT RECRUITMENT OF ASSOCIATE PROFESSOR AND PROFESSOR IN UNIVERSITIES AND COLLEGES.

	Direct Teaching Hours per week
Assistant Professor	16
Associate Professor	14
Professor	14

Based on the teacher's self-assessment, API scores are proposed for (a) teaching related activities; domain knowledge; (b) participation in examination and evaluation; and (c) contribution to innovative teaching, new courses etc. The minimum API score required by teachers from this category is different for different levels of promotion. The self-assessment score should be based on objectively verifiable records. It shall be finalized by the screening cum evaluation / selection committee. Universities may detail the activities, in case institutional specificities require, adjust the weightages without changing the minimum total API scores required under this category.

#### CATEGORY I: TEACHING, LEARNING AND EVALUATION RELATED ACTIVITIES

Category	Nature of Activity	Assistant Professor		Associate Professor		Professor	
		Max. Score	Actual Score	Max. Score	Actual Score	Max. Score	Actual Score
I	a. Direct Teaching	70	Actual hours spent per	60	Actual hours spent per	60	Actual hours spent per

III (C) (iii)	Projects Outcome / Outputs	Patent / Technology transfer / Product / Process	Major Policy document prepared for international bodies like WHO/UNO/UNESCO/UNICEF etc. Central / State Govt./Local Bodies	respectively 30 for each International / 20 for each national level output or patent. Major policy document of International bodies - 30 Central Government - 20, State Govt.-10 Local bodies - 5
III (D)	RESEARCH GUIDANCE			
III(D)(i)	M.Phil.	Degree awarded	Degree awarded	5 per candidate
III(D) (ii)	Ph.D.	Degree awarded / Thesis submitted	Degree awarded / Thesis submitted	15/10 per candidate
III E	Fellowships, Awards and Invited lectures delivered in conferences / seminars			
III(E) (i)	Fellowships/ Awards	International Award/Fellowship from academic bodies	International Award / Fellowship from academic bodies/associations	15 per Award / 15 per Fellowship
		National Award/Fellowship from academic bodies	National Award/Fellowship from academic bodies/associations	10 per Award / 10 per Fellowship
		State/University level Award from academic bodies	State/University level Award from academic bodies/associations	5 Per Award
III(E) (ii)	Invited lectures / papers	International	International	7 per lecture / 5 per paper presented
		National level	National level	5 per lecture / 3 per paper presented
		State/University level	State/University level	3 per lecture / 2 per paper presented
The score under this sub-category shall be restricted to 20% of the minimum fixed for Category III for any assessment period				
III(F)	Development of e-learning delivery process/material			10 per module

\* Wherever relevant to any specific discipline, the API score for paper in refereed journal would be augmented as follows: (i) paper with impact factor less than 1 - by 5 points; (ii) papers with impact factor between 1 and 2 by 10 points; (iii) papers with impact factor between 2 and 5 by 15 points; (iv) papers with impact factor between 5 and 10 by 20 points; (v) papers with impact factor above 10 by 25 points. The API for joint publications shall be calculated in the following manner: Of the total score for the relevant category of publication by the concerned teacher, the First and Principal / corresponding author /supervisor / mentor would share equally 70% of the total points and the remaining 30% would be shared equally by all other authors.

# The University shall identify the journals subject-wise through subject expert committees and forward the recommendations to UGC in the format prescribed by UGC for approval of the UGC Standing Committee. The journals approved from this list, by the UGC Standing Committee, shall be included in the "List of Journals" notified by the UGC. The UGC Standing Committee shall give its recommendations within 60 working days of the receipt of the list from the University. The UGC Standing Committee may also, suo-moto, recommend journals for inclusion in the "List of Journals". The clause 6.0.5 (i) will be strictly followed by the University.

#### APPENDIX - III TABLE - II (A)

MINIMUM APIS AS PROVIDED IN APPENDIX - III TABLE I TO BE APPLIED FOR THE PROMOTION OF TEACHERS UNDER CAREER ADVANCEMENT SCHEME (CAS) IN UNIVERSITY DEPARTMENTS AND COLLEGES, AND WEIGHTAGES FOR EXPERT ASSESSMENT

Category	Activity	Assistant Professor / equivalent	Assistant Professor / equivalent	Assistant Professor (Stage 3) to Assoc. Professor/equivalent	Associate Professor (Stage 4) to	Professor (Stage 5) to
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	in refresher / faculty development courses, dissemination and general articles and any other contribution)		academic-year ÷ 10
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### CATEGORY-III: RESEARCH AND ACADEMIC CONTRIBUTIONS

Based on the teacher's self-assessment, API scores are proposed for research and academic contributions. The minimum API scores required for teachers from this category are different for different levels of promotion in universities and colleges. The self-assessment score shall be based on verifiable records and shall be finalized by the screening cum evaluation committee for the promotion of Assistant Professor to higher grades and Selection Committee for the promotion of Assistant Professor to Associate Professor and Associate Professor to Professor and for direct recruitment of Associate Professor and Professor.

Category	Activity	Faculty of Sciences / Engineering / Agriculture / Medical / Veterinary Sciences	Faculties of Languages / Humanities / Arts / Social Sciences / Library / Physical education / Management	Maximum score for University / College teacher*
III (A)	Research Papers published in:	Refereed Journals as notified by the UGC#	Refereed Journals as notified by the UGC#	25 per Publication
		Other Reputed Journals as notified by the UGC#	Other Reputed Journals as notified by the UGC #	10 per Publication
III (B)	Publications other than journal articles (books, chapters in books)	Text/Reference, Books published by International Publishers, with ISBN/ISSN number as approved by the University and posted on its website. The List will be intimated to UGC.	Text/Reference Books, published by International Publishers, with ISBN/ISSN number as approved by the University and posted on its website. The List will be intimated to UGC.	30 per Book for Single Author
		Subject Books, published by National level publishers, with ISBN/ISSN number or State / Central Govt. Publications as approved by the University and posted on its website. The List will be intimated to UGC.	Subject Books, published by National level publishers, with ISBN/ISSN number or State / Central Govt. Publications as approved by the University and posted on its website. The List will be intimated to UGC.	20 per Book for Single Author
		Subject Books, published by Other local publishers, with ISBN/ISSN number as approved by the University and posted on its website. The List will be intimated to UGC.	Subject Books, published by Other local publishers, with ISBN/ISSN number as approved by the University and posted on its website. The List will be intimated to UGC.	15 per Book for Single Author
		Chapters in Books, published by National and International level publishers, with ISBN/ISSN number as approved by the University and posted on its website. The List will be intimated to UGC.	Chapters in Books, published by National and International level publishers, with ISBN/ISSN number as approved by the University and posted on its website. The List will be intimated to UGC.	International – 10 per Chapter National – 5 per Chapter
III (C)	RESEARCH PROJECTS			
III (C) (i)	Sponsored Projects	(a) Major Projects with grants above Rs. 30 lakhs	Major Projects with grants above Rs. 5 lakhs	20 per Project
		(b) Major Projects with grants above Rs. 5 lakhs up to Rs. 30 lakhs	Major Projects with grants above Rs. 3 lakhs up to Rs. 5 lakhs	15 per Project
		(c) Minor Projects with grants above Rs. 1 lakh up to Rs. 5 lakhs	Minor Projects with grants above Rs. 1 lakh up to Rs. 3 lakhs	10 per Project
III (C) (ii)	Consultancy Projects	Amount mobilized with a minimum of Rs. 10 lakhs	Amount mobilized with a minimum of Rs. 2 lakhs	10 for every Rs. 10 lakhs and Rs. 2 lakhs.

		cadres: (Stage 1 to Stage 2)	cadres: (Stage 2 to Stage 3)	cadres (Stage 4)	Professor /equivalent cadres (Stage 5)	Professor (Stage 6)
I	Teaching-learning, Evaluation Related Activities	80/Year	80/year	75/year	70/year	70/year
II	Professional Development and Extension activities - Minimum score required to be assessed cumulatively	50 / Assessment period	50 / Assessment period	50 / Assessment period	50 / Assessment period	100 / Assessment period
III	Research and Academic Contributions- Minimum Score required - to be assessed cumulatively	20 / Assessment period	50 / Assessment period	75 / Assessment period	100 / Assessment period	400 / Assessment period
II + III	Minimum total API score under Categories II and III*	90 / Assessment period	120 / Assessment period	150 / Assessment period	180 / Assessment period	600 / Assessment period
IV	Expert Assessment System	Screening cum evaluation committee	Screening cum evaluation committee	Selection Committee	Selection Committee	Expert Committee
V-	Percentage Distribution of Weightage Points in the Expert Assessment (Total weightage = 100. Minimum required for promotion is 50)	No separate points. Screening committee to verify API scores	No separate points. Screening Committee to verify API scores	30% - Research Contribution 50% - Assessment of domain knowledge & teaching practices. 20% - Interview performance	50% Research Contribution. 30% Assessment of domain knowledge & teaching practices. 20% - Interview performance	50% Research Contribution. 50%- Performance evaluation and other credential by referral procedure

\* Teachers may score the balance of points from either Category II or Category III to achieve the minimum score required under Category II + III.

#### APPENDIX - III TABLE - II(B)

Minimum Scores for APIs for direct recruitment of teachers in university departments / Colleges and weightages in Selection Committees to be considered along with other specified eligibility qualifications stipulated in the Regulation.

	Assistant (Stage 1)	Professor	Associate Professor (Stage 4)	Professor (Stage 5)
Minimum API	Minimum	Consolidated API score requirement	Consolidated	API score

Scores	Qualification as stipulated in these regulations	of 300 points from categories II & III of APIs (cumulative)	requirement of 400 points from categories II & III of APIs (cumulative)
Selection Committee criteria / weightages (Total Weightages = 100)	a) Academic Record and Research Performance (50%) b) Assessment of Domain Knowledge & Teaching Skills (30%) c) Interview performance (20%)	a) Academic Background (20%) b) Research performance based on API score and quality of publications (40%) c) Assessment of Domain Knowledge and Teaching Skills (20%) d) Interview performance: (20%)	a) Academic Background (20%) b) Research performance based on API score and quality of publications (40%). c) Assessment of Domain knowledge and Teaching Skills (20%). d) Interview performance:(20%)

## APPENDIX-III - TABLE: III

## MINIMUM ACADEMIC PERFORMANCE AND SERVICE REQUIREMENTS FOR PROMOTION OF TEACHERS IN UNIVERSITIES AND COLLEGES

S.No.	Promotion of Teachers through CAS	Service requirement	Minimum Academic Performance Requirements and Screening/Selection Criteria
1	Assistant Professor/ equivalent cadres from Stage 1 to Stage 2	Assistant Professor in Stage 1 and completed four years of service with Ph.D. or five years of service who are with M.Phil / PG Degree in Professional Courses such as LL.M. M.Tech. M.V.Sc., M.D., or six years of service who are without Ph.D/ M.Phil / PG Degree in Professional courses	(i) Minimum cumulative API scores using PBAS scoring proforma developed by the UGC as per the norms provided in Table II (A). (ii) One Orientation and one Refresher / Research Methodology Course of 2/3 weeks duration. (iii) Screening cum Verification process for recommending promotion.
2	Assistant Professor/ equivalent cadres from Stage 2 to Stage 3	Assistant Professor with completed service of five years in Stage 2.	(i) Minimum cumulative API scores using the PBAS scoring proforma developed by the UGC as per the norms provided in Table II(A) (ii) One course / programme from among the categories of refresher courses, methodology workshops, Training, Teaching-Learning-Evaluation Technology Programmes, Soft Skills development Programmes and Faculty Development Programmes of 2/3 week duration. (iii) Screening cum Verification process for recommending promotion.
3	Assistant Professor (Stage 3) to Associate Professor (Stage 4)	Assistant Professors with three years of completed service in Stage 3.	(i) Minimum cumulative API scores using the PBAS scoring proforma developed by the UGC as per the norms provided in Table II (A). (ii) At least three publications in the entire period as Assistant Professor (twelve years). However, in the case of College teachers, an exemption of one publication may be given to M. Phil. holders and an exemption of two publications may be given to Ph. D. holders. (iii) One course / programme from among the categories of methodology workshops, Training, Teaching-Learning - Evaluation Technology Programmes, Soft Skills development Programmes and Faculty Development Programmes of minimum one week duration. (iv) A selection committee process as stipulated in the regulation and in Tables II(A).
4	Associate Professor (Stage	Associate Professor with three years of completed	(i) Minimum cumulative API scores using the PBAS scoring proforma developed by the UGC as per the norms provided

lecturers

7000/-

8000/-

9000/-



ಕರ್ನಾಟಕ ಸರ್ಕಾರ  
ಕಾಲೇಜು ಶಿಕ್ಷಣ ಇಲಾಖೆ

ಸಂಖ್ಯೆ: ಕಾಲೇಜು/137/ಎಜಿಪಿ/2016-17/ಬಸ್ತಾವಿ

ಕಾಲೇಜು ಶಿಕ್ಷಣ ಆಯುಕ್ತರಕಛೇರಿ,  
ಬೆಂಗಳೂರು, ದಿನಾಂಕ: 27.04.2017.

ಸುತ್ತೋಲೆ

ವಿಷಯ: ಸರ್ಕಾರಿ ಪ್ರಥಮ ದರ್ಜೆ ಕಾಲೇಜುಗಳಲ್ಲಿ ಕಾರ್ಯ ನಿರ್ವಹಿಸುತ್ತಿರುವ  
ಸಹಾಯಕ ಪ್ರಾಧ್ಯಾಪಕರುಗಳಿಗೆ ವೃತ್ತಿ ಪದೋನ್ನತಿ ಅಡಿಯಲ್ಲಿ ಉನ್ನತ  
(Higher) ಎ.ಜಿ.ಪಿ. ರೂ.9000/- ಮಂಜೂರು ಮಾಡುವ ಬಗ್ಗೆ.

- ಉಲ್ಲೇಖ: 1. ಸರ್ಕಾರಿ ಆದೇಶ ಸಂಖ್ಯೆ: ಇಡಿ 37 ಯುಎನ್‌ಇ 2009.  
ದಿ:24.12.2009 ಹಾಗೂ ಸರ್ಕಾರದಿಂದ ಕಾಲಕಾಲಕ್ಕೆ  
ಹೊರಡಿಸಲಾದ ಆದೇಶ ಹಾಗೂ ಮಾರ್ಗಸೂಚಿಗಳು.
2. ಸರ್ಕಾರಿ ಆದೇಶ ಸಂಖ್ಯೆ: ಇಡಿ 21 ಯುಎನ್‌ಇ 2011 ದಿನಾಂಕ:  
01.02.2011
3. ಯು.ಜಿ.ಸಿ. ನಿಯಮಗಳ ಆದೇಶ ಸಂಖ್ಯೆ: ಎಫ್‌3-1/ 2009.  
ದಿ:30.06.2010
4. ಯು.ಜಿ.ಸಿ.ಯ ಅರೆ ಸರ್ಕಾರಿ ವೆತ್ತ ಸಂಖ್ಯೆ: ಎಫ್‌12/2009  
V(i)vol-II. ದಿ:14.06.2013 ರೊಂದಿಗೆ ಲಗತ್ತಿಸಿರುವ  
ದಿ:13.06.2013ರ ಯು.ಜಿ.ಸಿ. ನಿಯಮಗಳ ತಿದ್ದುಪಡಿ ಆದೇಶ
5. ಸರ್ಕಾರಿ ಆದೇಶ ಸಂಖ್ಯೆ: ಇಡಿ 382 ಯುಎನ್‌ಇ 2012.  
ದಿ:25.09.2014
6. ಯು.ಜಿ.ಸಿ.ಯ ದಿನಾಂಕ: 04.05.2016 ಹಾಗೂ 11.07.2016ರ  
ಅಧಿಸೂಚನೆ.
7. ಸರ್ಕಾರದ ತಿದ್ದುಪಡಿ ಆದೇಶ ಸಂಖ್ಯೆ: ಇಡಿ 382 ಯುಎನ್‌ಇ  
2012 ದಿನಾಂಕ: 22.02.2017

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ಇಲಾಖೆಯ ವ್ಯಾಪ್ತಿಯಲ್ಲಿನ ಎಲ್ಲಾ ಸರ್ಕಾರಿ ಪ್ರಥಮ ದರ್ಜೆ ಕಾಲೇಜುಗಳ  
ಪ್ರಾಂಶುಪಾಲರು/ಸಹಾಯಕ ಪ್ರಾಧ್ಯಾಪಕರುಗಳ ಗಮನಕ್ಕೆ ತರುವುದೇನೆಂದರೆ, 2006ರ ಯು.ಜಿ.ಸಿ.  
ವೇತನ ಶ್ರೇಣಿಗಳನ್ನು ಉಲ್ಲೇಖ(1) ಹಾಗೂ (2)ರ ಆದೇಶಗಳಲ್ಲಿ ಜಾರಿಗೊಳಿಸಲಾಗಿರುತ್ತದೆ. ಈ  
ಆದೇಶದ ಪ್ರಕಾರ ಸಹಾಯಕ ಪ್ರಾಧ್ಯಾಪಕರ ವೇತನ ಶ್ರೇಣಿ (ರೂ. 15,600-39,100)+8000  
ಎ.ಜಿ.ಪಿ.ಯಿಂದ ಸಹ ಪ್ರಾಧ್ಯಾಪಕರ ಹುದ್ದೆ (ರೂ.37400-67000)+9000 ಎ.ಜಿ.ಪಿ.ಗೆ ಅವಕಾಶ  
ಕಲ್ಪಿಸಲಾಗಿರುತ್ತದೆ.

ಉಲ್ಲೇಖ (3) ರ ಯು.ಜಿ.ಸಿ.ನಿಯಮಗಳಿಗೆ ತಿದ್ದುಪಡಿಗಳನ್ನು ಉಲ್ಲೇಖ (4) ಹಾಗೂ (6)ರಲ್ಲಿ  
ಹೊರಡಿಸಲಾಗಿರುತ್ತದೆ. ಸರ್ಕಾರದ ದಿನಾಂಕ: 22.02.2017ರ ತಿದ್ದುಪಡಿ ಆದೇಶದಲ್ಲಿ ಯು.ಜಿ.ಸಿ.ಯ  
ದಿನಾಂಕ: 04.05.2016 ಮತ್ತು ದಿನಾಂಕ: 11.07.2016 ರ ಮಾರ್ಗಸೂಚಿಗಳನ್ನು  
ಅಳವಡಿಸಲಾಗಿರುತ್ತದೆ.

ಆಧಾರಿಂ, ರೂ. (15,600-39,100)+8000 ರಿಂದ ರೂ. (37400-67000)+9000  
ಎ.ಜಿ.ಪಿ. ಗಾಗಿ ಈ ಕೆಳಕಂಡಂತೆ ಷರತ್ತು ಹಾಗೂ ಸೂಚನೆಗಳನ್ನು ಹೊರಡಿಸಲಾಗಿದೆ.

ಷರತ್ತು ಹಾಗೂ ಮಾರ್ಗಸೂಚಿಗಳು :

1. ದಿನಾಂಕ: 30.08.2010 ಹಾಗೂ ಅನಂತರದಲ್ಲ ಸಹಾಯಕ ಪ್ರಾಧ್ಯಾಪಕರ ವೇತನ ಶ್ರೇಣಿಯಲ್ಲಿ ರೂ.8000 ಎ.ಜಿ.ಪಿ. ಪಡೆದು 03 ವರ್ಷಗಳ ಸೇವಾವಧಿಯನ್ನು ಪೂರೈಸಿದವರು ಈ ಸೌಲಭ್ಯಕ್ಕೆ ಅರ್ಹರಿರುತ್ತಾರೆ.
2. ಈ ಸುತ್ತೋಲೆಯೊಂದಿಗೆ ಲಗತ್ತಿಸಿರುವ ಯುಜಿಸಿಯ ದಿ. 11/07/2016ರ ಅಧಿಸೂಚನೆಯಲ್ಲಿ ನೀಡಲಾಗಿರುವ APPENDIX-III, Table-IV (Proposed scores for API in CAS) (Category I, II & III), Table-II (Minimum points Norms of API) ಮತ್ತು Table-III (Minimum Service Requirements) ಗಳನ್ವಯ ಕ್ರಮ ಜರುಗಿಸುವುದು.
3. ಉನ್ನತ(Higher)ಎ.ಜಿ.ಪಿ.ಗೆ ಅರ್ಹರಿರುವ ಸಹಾಯಕ ಪ್ರಾಧ್ಯಾಪಕರು ಉಲ್ಲೇಖ 3 ಮತ್ತು 6ರ ಯು.ಜಿ.ಸಿ.ಯ ಮಾರ್ಗಸೂಚಿ ಹಾಗೂ ಸರ್ಕಾರಿ ಆದೇಶಗಳಲ್ಲಿ ನೀಡಲಾಗಿರುವ ನಿರ್ದೇಶನಗಳನ್ವಯ APPENDIX-III, Table-I (Category I, II & III) ನಮೂನೆಗಳಲ್ಲಿ ಭರ್ತಿ ಮಾಡಿ ನಿಗದಿಪಡಿಸಲಾಗಿರುವ ಎಲ್ಲಾ ದೃಢೀಕೃತ ದಾಖಲೆಗಳೊಂದಿಗೆ ಆಯ್ಕೆ ಸಮಿತಿಗೆ ಸಲ್ಲಿಸತಕ್ಕದ್ದು.
4. ದಿನಾಂಕ: 11.07.2016ರ ಯು.ಜಿ.ಸಿ. ಆದೇಶ/ಮಾರ್ಗಸೂಚಿಗಳ ಪ್ರಕಾರ ಎ.ಪಿ.ಐ. ಅಂಕಗಳನ್ನು ಅರ್ಹತಾ ದಿನಾಂಕದವರೆಗೆ ನಮೂದಿಸತಕ್ಕದ್ದು.
5. ಸಹ ಪ್ರಾಧ್ಯಾಪಕರ ಪದೋನ್ನತಿಗಾಗಿ ಆಯ್ಕೆ ಸಮಿತಿಯನ್ನು ಯುಜಿಸಿಯ ದಿನಾಂಕ: 03.06.2010ರ ಕಂಡಿಕೆ: 5.1.5ರಂತೆ ರಚಿಸತಕ್ಕದ್ದು.
6. ಔಪಚಾರಿಕವಾಗಿ ತಮ್ಮ ಹಂತದಲ್ಲಿ ಯುಜಿಸಿಯ ದಿನಾಂಕ: 30.06.2010ರ ಕಂಡಿಕೆ: 5.1.5ರಲ್ಲಿ ನೀಡಲಾಗಿರುವ ನಿರ್ದೇಶನಗಳನ್ವಯ ಆಯ್ಕೆ ಸಮಿತಿಯನ್ನು ರಚಿಸಿ ಸಹಾಯಕ ಪ್ರಾಧ್ಯಾಪಕರು ಸಲ್ಲಿಸಿರುವ ನಿಗದಿತ ನಮೂನೆಗಳು, ಪ್ರಮಾಣ ಪತ್ರಗಳು ಹಾಗೂ ಉಪಲಾಭಿಗಳನ್ನು ಕೂಲಂಕಷವಾಗಿ ಪರಿಶೀಲಿಸಿ ದಿನಾಂಕ : 11.07.2016ರ ಯು.ಜಿ.ಸಿ. ಮಾರ್ಗಸೂಚಿಗಳ ಅನುಸಾರ APPENDIX-III, Table-II (A), APPENDIX-III, Table-III(3) ರಲ್ಲಿ ನಿಗದಿಪಡಿಸಿರುವಂತೆ ಉನ್ನತ ಎ.ಜಿ.ಪಿ.ಗೆ ಅರ್ಹರಿದ್ದಲ್ಲಿ ಅಭ್ಯರ್ಥಿಯೊಂದಿಗೆ ಪ್ರಸ್ತಾವನೆಯನ್ನು ತಮ್ಮ ಶಿಫಾರಸ್ಸಿನೊಂದಿಗೆ ಈ ಕಛೇರಿಗೆ ಸಲ್ಲಿಸಿಕೊಡುವಂತೆ ಹಾಗೂ ಅರ್ಹರಿದ್ದಲ್ಲಿ ಸಮಿತಿಯ ಹಂತದಲ್ಲಿ ತಿರಸ್ಕರಿಸಿ ಈ ಕಛೇರಿಗೆ ವರದಿ ಮಾಡತಕ್ಕದ್ದು ಹಾಗೂ ಪದೋನ್ನತಿ ಪಡೆಯುತ್ತಿರುವ ಸಹಾಯಕ ಪ್ರಾಧ್ಯಾಪಕರಿಗೆ ನೀಡಲಾಗಿರುವ ಅಂಕಗಳನ್ನೊಳಗೊಂಡ "Expert Selection Committee" ಸಭೆಯ ನಡವಳಿಗಳನ್ನು ಲಗತ್ತಿಸತಕ್ಕದ್ದು.
7. ಸಹಾಯಕ ಪ್ರಾಧ್ಯಾಪಕರು ತಮ್ಮ ಸೇವಾವಧಿಯಲ್ಲಿ ವೇತನರಹಿತ ರಜೆ/ಅನಧಿಕೃತ ಗೈರು ಹಾಜರಿಗಿದ್ದಲ್ಲಿ ಆ ಅವಧಿಯನ್ನು ಮುಂದುವರಿ ಅರ್ಹತಾ ದಿನಾಂಕವನ್ನು ನಿಗದಿಪಡಿಸತಕ್ಕದ್ದು.

8. ಸಹಾಯಕ ನಿರ್ದೇಶಕರುಗಳ ವಿರುದ್ಧ ಶಿಸ್ತು ಪ್ರಕರಣಗಳು ದಾಖಲಾಗಿ/ವಿಚಾರಣೆ ಹಂತದಲ್ಲಿ ಇದ್ದಲ್ಲಿ ವ್ಯವಹಾರವಿಗೊಮ್ಮೆ ಪರಿಗಣಿಸತಕ್ಕದ್ದಲ್ಲ.
9. ಕರ್ನಾಟಕ ಸಿವಿಲ್ ಸೇವಾ (ಕಂಪ್ಯೂಟರ್ ಸಾಕ್ಷರತಾ ಪರೀಕ್ಷೆ) ನಿಯಮಗಳು 2012 ಹಾಗೂ ತಿದ್ದುಪಡಿ ನಿಯಮಗಳು 2015ರನ್ವಯ ಸರ್ಕಾರಿ ನೌಕರರು ಸರ್ಕಾರದಿಂದ ನಿಗದಿಪಡಿಸಲಾಗಿರುವ ಅಧಿಕೃತ ಏಜೆನ್ಸಿಯಾದ KEONICS ನಿಂದ ನಡೆಸಲಾಗುವ ಕಂಪ್ಯೂಟರ್ ಸಾಕ್ಷರತಾ ಪರೀಕ್ಷೆಯಲ್ಲಿ ಕಡ್ಡಾಯವಾಗಿ ಉತ್ತೀರ್ಣರಾಗಬೇಕಾಗಿರುತ್ತದೆ.

(ಕರಡು ಆಯುಕ್ತರಿಂದ ಅನುಮೋದಿಸಲ್ಪಟ್ಟಿದೆ)

ಕಾಲೇಜು ಶಿಕ್ಷಣ ಮುಖ್ಯ ಅಧಿಕಾರಿಗಳು

ಇವರಿಗೆ:

1. ಎಲ್ಲಾ ಸರ್ಕಾರಿ ಪ್ರಥಮ ದರ್ಜೆ ಕಾಲೇಜುಗಳ ಪ್ರಾಂಶುಪಾಲರುಗಳಿಗೆ - ಮೇಲ್ಕಂಡ ಚರತ್ತು ಹಾಗೂ ಮಾರ್ಗಸೂಚಿಗಳ ಪ್ರಕಾರ ಪ್ರಸ್ತಾವನೆಗಳನ್ನು ಕಳುಹಿಸಿಕೊಡುವಂತೆ ಸೂಚಿಸಲಾಗಿದೆ.
2. ಎಲ್ಲಾ ಜಂಟಿ ನಿರ್ದೇಶಕರು, ಕಾಲೇಜು ಶಿಕ್ಷಣ ಪ್ರಾದೇಶಿಕ ಕಛೇರಿ, ಬೆಂಗಳೂರು, ಮೈಸೂರು, ಶಿವಮೊಗ್ಗ, ಮಂಗಳೂರು, ಧಾರವಾಡ, ಕಲಬುರಗಿ - ಮಾಹಿತಿಗಾಗಿ.

ಪ್ರತಿಯನ್ನು :

1. ಮಾಹಿತಿ ಅಧಿಕಾರಿಗಳು, ಮಾಹಿತಿ ವಿಭಾಗ, ಕೇಂದ್ರ ಕಛೇರಿ, ಬೆಂಗಳೂರು - ಮೇಲ್ಕಂಡ ಸುತ್ತೋಲೆ ಹಾಗೂ ನಮೂನೆಗಳನ್ನು ಇಲಾಖಾ ವೆಬ್‌ಸೈಟ್‌ನಲ್ಲಿ ಪ್ರಕಟಿಸುವ ಸಂಬಂಧದಲ್ಲಿ ಅಗತ್ಯ ಕ್ರಮಕ್ಕಾಗಿ.
2. ಕಛೇರಿ ಪ್ರತಿ.

9/5/17  
ಶಿಕ್ಷಣ  
ಮುಖ್ಯ ಅಧಿಕಾರಿ

24/5/17 ರಂದು ಮುಖ್ಯ ಅಧಿಕಾರಿ  
ಶಿಕ್ಷಣ ಮತ್ತು ಮಾಹಿತಿ ವಿಭಾಗ  
(11.11.17-7-2016)